

1741

PTO/SB/21 (08-00)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/837,911	
	Filing Date	April 18, 2001	
	First Named Inventor	Hui WANG	
	Group Art Unit	1741	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	9	Attorney Docket No.	495152000111

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Prosecution by Assignee & Power of Attorney Under 37 CFR 3.71 (3 Pages)	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement Under 37 CFR 3.73(b) w/copy of Assignment Documents (5 Pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Return Receipt Postcard
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Peter J. Yim (Reg. No. 44,417) Morrison & Foerster LLP 425 Market Street San Francisco, California 94105-2482
Signature	
Date	November 9, 2001

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PATENT
Docket No. 495152000111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hui WANG

Serial No.: 09/837,911

Filing Date: April 18, 2001

For: PLATING APPARATUS AND
METHOD

Examiner: To Be Assigned

Group Art Unit: 1741

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**PROSECUTION BY ASSIGNEE AND POWER OF ATTORNEY
UNDER 37 C.F.R. § 3.71**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

ACM RESEARCH, INC., the assignee of the entire right, title and interest in this patent application, under 37 C.F.R. § 3.71 hereby appoints:

Lisa A. Amii (Reg No. 48,199)
Mehran Arjomand (Reg No. 48,231)
Sanjay S. Bagade (Reg No. 42,280)
Shantanu Basu (Reg No. 43,318)
Vincent J. Belusko (Reg No. 30,820)
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E. Thomas Wheelock (Reg No. 28,825)
Frank Wu (Reg No. 41,386)
Peter J. Yim (Reg No. 44,417)
Karen R. Zachow (Reg No. 46,332)

all of Morrison & Foerster LLP, 425 Market Street, San Francisco, California 94105-2482, telephone (415) 268-7000, to prosecute this application and transact all matters in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Morrison & Foerster as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

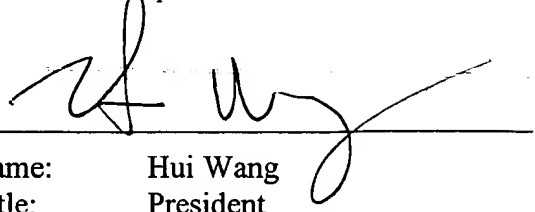
Please direct all written communications relative to this application to:

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Morrison & Foerster LLP
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San Francisco, California 94105-2482

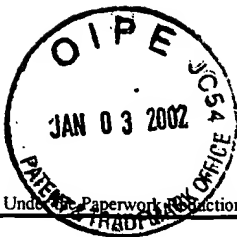
Please direct all telephone communications to Peter J. Yim at (415) 268-6373.

ACM RESEARCH, INC.
a California corporation

Dated: Nov. 5, 2001



Name: Hui Wang
Title: President
Address: ACM RESEARCH, INC.



PTO/SB/96 (08-00)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Hui WANG

Application No./Patent No.: 09/837,911

Filed/Issue Date: April 18, 2001

Entitled: PLATING APPARATUS AND METHOD

ACM RESEARCH, INC.

a corporation of California

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011725, Frame 0681, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[] Additional documents in the chain of title are listed on a supplemental sheet.

- [x] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date

Hui Wang

Signature

President

Title

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Attorney Docket No.: 495152000111

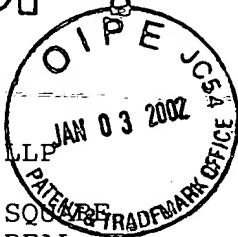
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COOLEY GODWARD LLP
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FIVE PALO ALTO SQUARE
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PALO ALTO, CA 94306-2155

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RECORDATION DATE: 04/16/2001

REEL/FRAME: 011725/0681
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WANG, HUI

DOC DATE: 01/14/1999

ASSIGNEE:
ACM RESEARCH, INC.
43236 CHRISTY STREET
FREMONT, CALIFORNIA 94538

SERIAL NUMBER: 09837911
PATENT NUMBER:

FILING DATE: 04/16/2001
ISSUE DATE:

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1. Name of conveying party(ies):

Hui WANG

4.16.01

 Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other:

Execution Date: January 14, 1999

2. Name and address of receiving party(ies):

 ACM Research, Inc.
43236 Christy Street
Fremont, California 94538

 Additional name(s) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: January 14, 1999

A. Patent Application No.(s)

B. Patent No.(s)

.09837911

 Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

 Patent Group
Cooley Godward LLP
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155

6. Total number of application and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 03-3117

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9. Statement and signature.

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Reg. No.: 33,885

Name of Person Signing: William S. Galliani

Signature:

Date:

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Total number of pages including cover sheet, attachments, and document: 4

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Date: 18 April 2001 By:

Vladimir Skliba

Vladimir Skliba

ASSIGNMENT

Whereas, Hui Wang residing at 38855 Litchfield Court, Fremont, California 94536; (hereinafter referred to individually and collectively as "Inventor") have made an invention relating to certain new and useful improvements in:

PLATING APPARATUS AND METHOD

and executed therefor an Application for Letters Patent of the United States and

- [☒] having an oath or declaration executed on even date herewith;
- [] bearing Serial No. ____ and filed on ____
- [] issued as a Patent No. ____ on ____

Whereas, ACM Research, Inc. (hereinafter "Assignee"), a corporation of California, and having a principal place of business at 43236 Christy Street, Fremont, California 94538, is desirous of acquiring the entire right, title, and interest in and to said invention, said Application, and the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said Application and any other application, domestic or foreign, that claims said invention, as well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

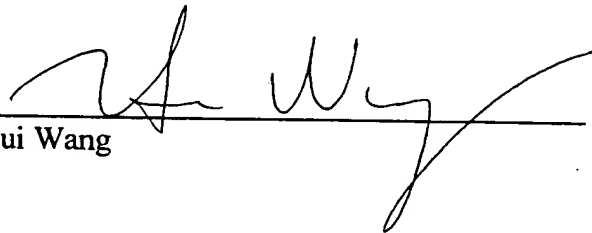
Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other

international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and **Inventor does hereby covenant** and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

Date: Jan 14, 1999

By: _____

Hui Wang

A handwritten signature in black ink, appearing to read 'Hui Wang', is written over a horizontal line. The signature is stylized with a large, sweeping 'H' and a long, trailing flourish.